

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Naoyuki Tani et al.  
Application No. : 09/786,001 Confirmation No. : Not yet assigned  
Filed : February 28, 2001  
For : WORKPIECE RETAINER AND METHOD FOR  
ATTACHING/DETACHING WORKPIECE BY USING THE SAME  
Group : Not yet assigned  
Examiner : Not yet assigned

New York, New York  
June 4, 2001

Hon. Commissioner  
for Patents  
Box PCT  
Washington D.C. 20231

RESPONSE TO NOTIFICATION OF  
MISSING REQUIREMENTS UNDER 35 U.S.C. § 371

Sir:

This is in response to the April 23, 2001 Notice of Missing Requirements Under 35 U.S.C. § 371 (copy enclosed) in the above-identified patent application.

Applicants have enclosed herewith an original executed Combined Declaration And Power Of Attorney. In addition, applicants enclose herewith a check in the amount of \$130.00, the surcharge for providing the declaration on a date later than 30 months from the

priority date. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this Response is enclosed.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)

Lisa A. Dixon (Reg. No. 40,995)

Attorneys for Applicants

Nina R. Horan (Reg. No. 47,662)

Agent for Applicants

FISH & NEAVE

Customer No. 1473

1251 Avenue of the Americas

New York, New York 10020

Tel.: (212) 596-9000

Fax.: (212) 596-9090

JC19 Rec'd PCT/PTO 0 4 JUN 2001

PATENTS  
NITTA-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : NAOYUKI TANI ET AL.

For : WORKPIECE RETAINER AND METHOD FOR  
ATTACHING/DETACHING WORKPIECE BY USING THE  
SAME

New York, New York 10020  
June 4, 2001

Hon. Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATION

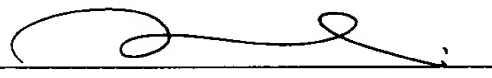
Express Mail Label No. EF230788525US

Date of Deposit: June 4, 2001

I hereby certify that this certification and the  
following papers and fees:

1. Notice of Missing Requirements Under 35  
U.S.C. § 371;
2. Response to Notification of Missing  
Requirements Under 35 U.S.C. § 371 (in  
duplicate);
3. Combined Declaration and Power of Attorney;  
and
4. Check in the amount of \$130.00.

are being deposited with the United States Postal Service  
"Express Mail Post Office to Addressee" service under  
37 C.F.R. § 1.10 on the date indicated above and are  
addressed to Hon. Commissioner for Patents, Box PCT,  
Washington, D.C. 20231.

  
Name: Jin Li



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/786001	TANI N	NITTA-4
INTERNATIONAL APPLICATION NO.		

FISH & NEAVE  
1251 AVENUE OF THE AMERICAS  
50TH FLOOR  
NEW YORK, NY 10020 1105

PCT/JP99/04662

I.A. FILING DATE	PRIORITY DATE
27 AUG 99	17 SEP 98

DOCKETED FOR

DATE MAILED: 23 APR 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):

- |  |   |
|--|---|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.   | <input type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.   | <input type="checkbox"/> Translation of the international application into English. |
| <input checked="" type="checkbox"/> Oath or Declaration of inventors(s).   | <input type="checkbox"/> Translation of Article 19 amendments into English.         |
| <input type="checkbox"/> Copy of Article 19 amendments.  | <input type="checkbox"/> Other:   |
| <input checked="" type="checkbox"/> Priority Document.   |   |
| <input checked="" type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any. |   |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English.        |   |

2. ☒ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- |   |   |
|---|---|
| <input type="checkbox"/> U.S. Basic National Fee. | <input type="checkbox"/> Copy of the international application. |
|---|---|

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- |  |   |
|--|---|
| <input type="checkbox"/> a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.   | <input type="checkbox"/> The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.                                  |
| <input type="checkbox"/> b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).  |   |
| <input checked="" type="checkbox"/> c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date. | <input checked="" type="checkbox"/> The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917. |
| <input checked="" type="checkbox"/> d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).  |   |

4. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

**RECEIVED**Enclosed: ☒ PCT/DO/EO/917  
☐ PTO-875☐ Notice of Defective Translation  
☐ PCT/DO/EO/920

Fred Smith

APR 27 2001

FORM PCT/DO/EO/905 (March 2001)

Telephone: 703-305-3654

Express Mail Label  
#EF230788525USFISH & NEAVE - PATENT DEPT  
REFERRED TO 5104  
ATTN BY